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Enclosed are:

Transmittal Form
Information Disclosure Statement Under 37 CFR §1.97(b)
Form PTO/SB/08a
Copy of all references listed on PTO Form
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28303.000022

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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& TRACE	Application Number	10/662,213
TRANSMITTAL	Filing Date	September 12, 2003
FORM	First Named Inventor	Houde-Walter, William R.
(to be used for all correspondence after initial filing)	Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	28303.000022

•	ENCLOSURES (check all that apply)						
	Fee Transmittal Fee Attached			Drawing(s)		After Allowance communication to Group Appeal Communication to Board of	
				Licensing-related Papers		Appeals and Interferences	
	☐ Amendment/Reply			Petition	Appeal Communicatio (Appeal Notice, Brief		
☐ After Final			Petition to Convert to a Provisional Application		Proprietary Information		
	☐ Affidavit/decla	ration(s)		Power to Attorney, Revocation Change of Correspondence Address		Status Letter	
	☐ Extension of Time Request			Terminal Disclaimer		Other Enclosure(s) (please identify below):	
	Express Abandonment Request		☐ Request for Refund			Form PTO/SB/08a, copy of all references listed thereon, Certificate of Mailing, and Postcard	
\boxtimes	✓ Information Disclosure Statement			CD, Number of CD(s)			
☐ Certified Copy of Priority Document(s)		Remarks					
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts Under 37 CFR 1.52 or 1.53		•					
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Brian B. Shaw, Individual name		HARTER, SECREST & EMERY LLP					
Signature							
Date December 11, 2		003					

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Houde-Walter, William R.

Atty. Docket:

28303.000022

Serial No.:

10/662,213

Examiner:

Unknown

Filed:

September 12, 2003

Art Unit:

Unknown

Title:

DIFFRACTIVE HEAD UP DISPLAY FOR FIREARMS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (b)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby encloses an Information Disclosure Statement as set forth on form PTO/SB/08a.

As this Statement is submitted within 3 months of the application filing date and before the first Office Action is mailed from the United States Patent and Trademark Office, Applicant believes there are no fees due at this time.

Respectfully submitted,

Brian B. Shaw, Registration No. 33,782

HARTER, SECREST & EMERY LLP

1600 Bausch & Lomb Place Rochester, New York 14604 Telephone: 585-232-6500

Fax: 585-232-2152

Dated: 11 December 2003

PTO/SB/08a (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/662,213			
Filing Date	September 12, 2003			
First Named Inventor	Houde-Walter, William R.			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	28303.000022			

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-		US-4,012,150	03-15-1977	Upatnieks	
		US-4,592,654	06-03-1986	Girault et al.	
		US-4,643,515	02-17-1987	Upatnieks	
		US-5,151,800	09-29-1992	Upatnieks	
		US-5,483,362	01-09-1996	Tai et al.	
		US-5,509,226	04-23-1996	Houde-Walter	
		US-5,761,235	06-02-1998	Houde-Walter	
		US-5,813,159	09-29-1998	Kay et al.	
		US-5,815,936	10-06-1998	Sieczka et al.	
		US-5,917,459	06-29-1999	Son et al.	
		US-6,490,060	12-03-2002	Tai et al.	
	-	US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1		ntent Document Der ^{4 -} Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner	Date	
Signature	Cons	idered

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.